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March 23, 2018 SMBC Nikko Securities Inc.

Personnel Changes

SMBC Nikko Securities Inc. announces personnel changes as follows.

1. Personnel Changes (Effective as of April 2, 2018)

Yoshihiro Ikeda	General Manager, International Business Planning
Kohei Mitamura	General Manager, International Business Supervisory
Takatoshi Hino	Senior Deputy Head of IPO Underwriting & Consulting, Investment Banking
Masaru Sakaguchi	Deputy Head of IPO Underwriting & Consulting, Investment Banking
Naoyo Morimitsu	Deputy Head of IPO Underwriting & Consulting, Investment Banking

2. Changes in Executive Officers (Effective as of May 1, 2018)

(1) Appointment of Executive Officer

Hitoshi Minami	Managing Executive Officer, Head of International Business Unit and Head of International Business
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(2) Change in Executive Officer

Masashi lwakigawa	Executive	Officer,	Executive	Deputy	President,	Head	of	
Wasasiii Wanigawa	Financial &	Public Ir	stitutions U	nit				

3. Retirement of Executive Officer (Effective as of July 31, 2018)

Kohei Hirota	Advisor
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This material is an English translation of Japanese announcement made on March 23, 2018. Although the company intended to faithfully translate the Japanese document into English, the accuracy and correctness of this translation are not guaranteed and thus you are encouraged to refer to the original Japanese document.

4. Changes in Executive Officers (Effective as of August 1, 2018)

(1) Appointment of Executive Officer

Hitoshi Ishii	Senior Executive Officer, Head of Corporate Clients Unit and Investment Banking Unit
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(2) Change in Executive Officer

Tomonari Kimura Managing Executive Officer, Representative M Director of Osaka, Head of Corporate Clients Osaka ar of Corporate Banking (Investment Banking Osaka)

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