

[NEWS RELEASE]

March 25, 2021 SMBC Nikko Securities Inc.

Personnel Changes

SMBC Nikko Securities Inc. announces personnel changes as follows.

1. Changes in Executive Officers (Effective as of April 1, 2021)

Hideyuki Omokawa	Managing Executive Officer, Head of Business Strategy &
	Development
Shinsuke Ushijima	Managing Executive Officer, Head of Corporate Planning &
	Customer-Oriented Business Planning and Head of Digital
	Innovation and Chief Sustainability Officer and Senior
	Deputy Head of Business Strategy & Development
Bungo Miura	Executive Officer, Senior Deputy Head of International
	Business (based in New York), and Chairman and
	President, SMBC Nikko Securities America, Inc.

2. Personnel Changes (Effective as of April 1, 2021)

Kenji Ito	Senior Deputy Head of Private Wealth
Hidetsugu Yatabe	Deputy Head of IPO Underwriting & Consulting
Akira Moriya	Sumitomo Mitsui Banking Corporation and Head of
	Consumer, Retail & Healthcare Group II
Keigetsu Takahashi	Sumitomo Mitsui Banking Corporation and Head of
	Technology, Media & Telecom Group II

3. Change in Executive Officer (Effective as of August 1, 2021)

Kenji Hirao	Managing Executive Officer, Senior Deputy Head of Global
	Investment Banking Unit

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