

【NEWS RELEASE】

September 13, 2022
SMBC Nikko Securities Inc.

Organizational and Personnel Changes

SMBC Nikko Securities Inc. announces organizational and personnel changes as follows.

1. Organizational Changes (Effective as of September 13, 2022)

(1) Business Risk Control Division

- Business Risk Control Division is established.
- Business Risk Control Section is established.

2. Changes in Executive Officers (Effective as of September 13, 2022)

Kazuhiro Notsu	Senior Managing Executive Officer for Special Missions (Improvement of internal control environment Project Team), Senior Advisor of Planning Unit and Head of Business Risk Control
Shinya Inose	Managing Executive Officer, Head of Compliance Unit, Head of Board of Directors Office and Senior Deputy Head of Business Risk Control

3. Personnel Changes (Effective as of September 13, 2022)

Satoshi Ogiyama	General Manager, Tachikawa Branch
Naoto Adachi	General Manager, Motoyawata Branch
Kaori Shiota	General Manager, Kawanishi Branch
Hiroshi Domon	General Manager, Yonago Branch
Takashi Sakai	Senior Deputy Head of Compliance
Kenji Kawahara	General Manager, Compliance - Products & Corporate Business
Daisuke Endo	General Manager, Compliance - Products & Corporate Business
Hideki Iwaguma	Deputy Head of Risk Management
Tomohiro Baba	General Manager, Human Resources
Kenji Morinaga	General Manager, Business Process Planning
Shumpei Oishi	General Manager, Business Risk Control
Mie Mizutani	General Manager, Tokyo Contact Center
Masae Shirane	General Manager, Front Service Center
Eiichiro Sugita	Deputy Head of Equity
Munehiro Miyazaki	Deputy Head of Financial Markets
Kazuo Isobe	General Manager, Corporate Clients Osaka I
Fumiyasu Tasaki	General Manager, Investment Banking IPO II

###